

TRANSACTION REPORT

P. 01

MAY-10-02 FRI 03:57 PM

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I hereby certify that this correspondence is being sent by facsimile transmission to the United States Patent and Trademark Office at the 1642 Art Unit's following fax number: (703) 746-5219 on this date: May 10, 2002.

Typed or Printed Name Susan M. Alessi

Signature

Susan M. Alessi

Date

May 10, 2002

FACSIMILE TRANSMITTAL
BOZICEVIC, FIELD & FRANCIS LLP

200 Middlefield Road

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Menlo Park, California 94025

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Date: May 10, 2002

Please Deliver To: Examiner Larry Helms
U.S. Patent and Trademark Office-Art Unit 1642

Facsimile No.: (703) 746-5219

(703) 305-3592

② filed 05/10/02
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Typed or Printed Name		Susan M. Alessi	
Signature		<i>Susan M. Alessi</i>	Date May 10, 2002

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Date: May 10, 2002

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U.S. Patent and Trademark Office-Art Unit 1642

Facsimile No.: (703) 746-5219

Phone: (703) 306-5879

From: James S. Keddie, Ph.D., Reg. No. 48,920

Re: US Patent Application No. 09/425,075 for
FUNCTIONALLY ASSEMBLED ANTIGEN-SPECIFIC INTACT RECOMBINANT
ANTIBODY AND A METHOD FOR PRODUCTION THEREOF
By: Choudary et al.
Atty. Docket: UCAL-269

Message: Please see attached filing following this cover sheet including:

- RCE Transmittal (1 page)
- Fee Transmittal in duplicate (2 pages)
- Amendment (10 pages)
- Request for Extension of Time (1 page)
- Copy of Revocation of Power of Attorney/Power of Attorney (2 pages)

Total number of pages, including this cover sheet: 17

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PTO/SB/17 (10-01)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$ 1350.00)

Complete if Known

Application Number	09/425,075
Filing Date	October 21, 1999
First Named Inventor	CHOUDARY, PRABHAKARA V.
Examiner Name	Larry Helms
Group Art Unit	1642
Attorney Docket No.	UCAL-269

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit overpayments to:
 Deposit Account Number 50-0815
 Deposit Account Name Bozicevic, Field & Francis LLP
☒ Charge Any Additional Fee Required
 Under 37 CFR 1.16 and 1.17
☒ Applicant Claims small entity status.
 See 37 CFR 1.27

2. ☐ Payment Enclosed:
☐ Check ☐ Credit Card ☐ Money Order ☐ Other

FEE CALCULATION

2. BASIC FILING FEE

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	
105	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1)

1. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
20-20**	=	x	=
Initial Claims 5-3**	=	x	=
Multiple Dependent	=	=	=

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	10	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	** Reissue Independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) \$

**or number previously paid, if greater; For Reissues, see above.

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examination action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,060	228	980	Extension for reply within fifth month	980.00
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to Institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	For each additional invention to be examined (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	370.00
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 1350.00)

SUBMITTED BY

Name (Print/Type) James S. Keddle, Ph.D.

Registration No.
(Attorney/Agent)

48,020

Complete (if applicable)

Telephone

(650) 327-3400

Signature

Date

05/10/2002

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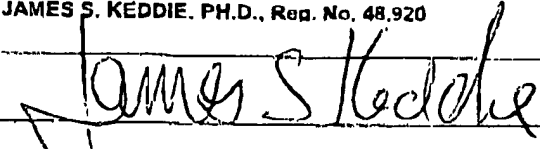
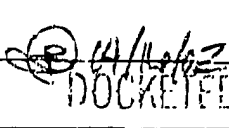
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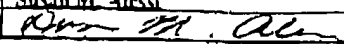
PTO/SB/21 (08-00)

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)		Application Number	09/425,075	
		Filing Date	October 21, 1999	
		First Named Inventor	CHOUDARY, PRABHAKARA V.	
		Group An Unit	1642	
		Examiner Name	L. HELMS	
Total Number of Pages in This Submission		2	Attorney Docket Number	UCAL-269
ENCLOSURES (check all that apply)				
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group		
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences		
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)		
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information		
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter		
<input type="checkbox"/> Extension of Time Request	<input checked="" type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please Identify below):		
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer		1. Return Receipt Postcard	
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<input type="checkbox"/> Response to Missing Parts/ Incomplete Application				
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	Remarks			
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT				
Firm or Individual Name	JAMES S. KEDDIE, PH.D., Reg. No. 48,920			
Signature	 			
Date	April 16, 2002			

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: April 16, 2002.	
Typed or printed name	Susan M. Alessi
Signature	
Date	April 16, 2002

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